

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of
1938, as amended**

For Six Month Period Ending 01/31/14

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Daniel J. Edelman, Inc.

3634

(c) Business Address(es) of Registrant

200 E Randolph, Flr 63
Chicago, IL 60601

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
Ruth Edelman	Board of Directors	10.2013

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
Renee Edelman	New York City, NY	U.S.A.	Board of Directors	12.2013

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

Name	Residence Address	Citizenship	Position	Date Assumed
See: Exhibit A: Additional Activities, section 5.(b)				

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
See: Exhibit A: Additional Activities, section 5.(d)			

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The Short Forms are attached to this filing.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☒ No ☐
If yes, furnish the following information:

Foreign Principal
Liu Yandong, Vice Premier, China

Date of Termination
November, 2013

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☒ No ☐
If yes, furnish the following information:

Name and Address of Foreign Principal(s)
Liu Yandong, Vice Premier, China
Office of State Council, Beijing China

Date Acquired
October, 2013

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Bombardier, Inc.
Grupo Vicini
TEL Solar, Ltd.
Singapore Economic Development Board

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☒ No ☐

Exhibit B⁴ Yes ☒ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☒ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☒

If no, please attach the required amendment.

Updated SOW for Econ Dev Board of Singapore with form NSD-5 attached.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

****See Exhibit A: Additional Activities.02.2014 (attached to this filing/report) for listing of activities**

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

5. The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
**See			
Attachments to			
this filing/report			
B: Receipts & C:			
Disbursements.			
All Clients.			
02.2014			

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☒ No ☐

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
**See Attachments to this filing/report B: Receipts & C: Disbursements. All Clients. 02.2014			

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☒ No ☐

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

** See Press Releases.All.02.14 (attached to this report)

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☒ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☒ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☒ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

The Information is attached to this filing

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

- Yes ☐ No ☒ The Information is attached to this filing

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

March 10, 2014

/s/ Randall Corley

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

EXHIBIT A: ADDITIONAL ACTIVITIES
FARA Supplemental Filing
August 1, 2013 – January 31, 2014

GRUPO VICINI

- Provided strategic counsel on reputation management issues.

TEL SOL (formerly called: OERLIKON SOLAR, LTD)

- Edit and distribute press releases [Attached to Exhibit A. Additional Activities: Press Releases]
- Monitor the media for news reports about the company and its competitors

BOMBARDIER

August 2013

Strategy Development

- Hosted a variety of calls with Bombardier Transportation
- Developed and presented Bombardier Transportation North America (BTNA) influencer program for NYC/Chicago/San Francisco

CSR

- Reviewed content submissions from subject matter experts for CSR report

September 2013

Strategy Development

- Hosted a variety of calls with Bombardier Transportation
- Worked with key organizations to execute BTNA influencer program in key regions including NYC/Chicago/San Francisco

CSR

- Prepared for goal setting exercise by conducting audit of Bombardier's current CSR and business goals; benchmarking leading CSR companies' goals; and conducting a gap analysis of Bombardier's current CSR goals against material issues

October 2013

Strategy Development

- Hosted a variety of calls with Bombardier Transportation
- Worked with ABNY on event with BTNA to build visibility within NYC transportation industry
- Worked with Chicago Chamber of Commerce on BTNA visibility

CSR

- Led a three-hour CSR goal facilitation exercise with CSR committee and created goal setting homework assignments to help internal teams develop draft goals

November 2013

Strategy Development

- Hosted a variety of calls with Bombardier Transportation
- Worked with San Francisco Chamber of Commerce on BTNA visibility

CSR

- Developed 2013 CSR report strategy
- Developed tailored CSR report content collection request forms for distribution internally
- Developed detailed outline for Integrated Activity Report content

December 2013

Strategy Development

- Began development of CSR report for website content

- Developed tailored content collection requests for Integrated Activity Report for distribution internally
- Refined and revised proposed long-term CSR goals

Singapore Economic Development Board

Strategy and Planning

- Executed and advised on strategic PR & communications plan; updated messaging; developed key opinion leader engagement recommendations
- Participated in and hosted EDB for mid-year account review and routine integrated marketing agency meetings

Media Materials and Outreach

- **Press Releases [Attached to Exhibit A. Additional Activities: Press Releases]**
 - Edelman distributed several press releases during this timeframe for various announcements/issues/events, including:
 - Lucasfilm
 - AbbVie
 - Lubrizol
- **Monitoring and Counsel**
 - Edelman conducted ongoing media monitoring of important news topics and shared relevant coverage with EDB as appropriate, and in weekly reports
- **Media Outreach**
 - The team pitched media for various announcements/issues/events, positioning EDB as a Home for Talent, Innovation & Business within Asia
- **Media Requests and Interviews**
 - The team secured interviews / article opportunities with the following and responded to their interview requests, including:
 - Mainstream (*The Guardian*)
 - Trade (*Industry Week, Inbound Logistics, GreenBiz*)
- **Online and Social Media Support**
 - Provided counsel, media monitoring, reporting and digital support for social media channels for EDB

Opinion/Thought Leadership /Content

- **Bylines and Op-eds**
 - Edelman secured interest for byline opportunity for EDB, coordinated in drafting

Influencer Engagement

- **Conferences**
 - Conducted background research and outreach for conferences and events for EDB's potential participation

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ADDITIONAL ANSWERS TO QUESTIONS IN SUPPLEMENTAL FILING FORM:

Question 5.b

During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity?

☒ Yes

☐ No

<u>Name</u>	<u>Residence Address</u>	<u>Citizenship</u>	<u>Position</u>	<u>Date Assumed</u>
Crain, William	Brooklyn, NY	U.S.A.	PR Staff-Bombardier	09.2013
Hilder, Miles	New York City, NY	U.S.A.	PR Staff-Bombardier	09.2013
Odo-Budinoff, Francesca	Long Island City, NY	U.S.A.	PR Staff-Bombardier	09.2013

Schweiger, Peri	New York City, NY	U.S.A.	PR Staff-Bombardier	09.2013
O'Tierney, Brenna Clairr	Chicago, IL	U.S.A.	PR Staff-Bombardier	09.2013
Xia, Lisa	Frankfurt, GE	U.S.A.	PR Staff-Bombardier	09.2013
Manley, Lisa	Chicago, IL	U.S.A.	PR Staff-Bombardier	09.2013
Walther, Kevin	Boston, MA	U.S.A.	PR Staff-Bombardier	09.2013
Beebe, Christiana	Newburyport, MA	U.S.A.	PR Staff-Bombardier	10.2013
Snyder, Sara	Sunderland, MA	U.S.A.	PR Staff-Bombardier	10.2013
Lowe, Elizabeth	New York City, NY	U.S.A.	PR Staff-Singapore Econ	01.2014
Clark, Katherine	New York City, NY	U.S.A.	PR Staff-Singapore Econ	01.2014
Hara, Stacy Holt	Chicago, IL	U.S.A.	PR Staff: China Vice Premier	11.2013
Poulos, Joseph	Chicago, IL	U.S.A.	PR Staff: China Vice Premier	11.2013
Isakson, Allison	Chicago, IL	U.S.A.	PR Staff: China Vice Premier	11.2013
Metcalf, Allie	Chicago, IL	U.S.A.	PR Staff: China Vice Premier	11.2013
Verhey, Amy	Chicago, IL	U.S.A.	PR Staff: China Vice Premier	11.2013
Hayes, Christopher	Washington, DC	U.S.A.	PR Staff: China Vice Premier	11.2013
Spring, Abigail	Washington, DC	U.S.A.	PR Staff: China Vice Premier	11.2013
Sullivan, Jere	Washington, DC	U.S.A.	PR Staff: China Vice Premier	11.2013

Question 5.d

Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period?

☒ Yes

☐ No

If yes, furnish the following information:

<u>NAME</u>	<u>POSITION OR CONNECTION</u>	<u>DATE TERMINATED</u>
Hara, Stacy Holt	PR Staff: China Vice Premier	11.2013
Poulos, Joseph	PR Staff: China Vice Premier	11.2013
Isakson, Allison	PR Staff: China Vice Premier	11.2013
Metcalf, Allie	PR Staff: China Vice Premier	11.2013
Verhey, Amy	PR Staff: China Vice Premier	11.2013
Hayes, Christopher	PR Staff: China Vice Premier	11.2013
Spring, Abigail	PR Staff: China Vice Premier	11.2013
Sullivan, Jere	PR Staff: China Vice Premier	11.2013
D'Agostino, Al	PR Staff: Bombardier	09.2013

Edelman: FARA FEB 2014: B.Receipts and C.Disbursements**Bombardier, Inc.****RECEIPTS during the period August 1, 2013 through Jan 31, 2014**

DATE	PURPOSE	AMOUNT
8/2/2013	Client Services	\$ 20,600.00
9/26/2013	Client Services	\$ 20,600.00
10/11/2013	Client Services	\$ 20,600.00
11/8/2013	Client Services & OOP Expenses	\$ 13,937.74
11/15/2013	Client Services	\$ 20,600.00
12/6/2013	Client Services	\$ 20,600.00
12/6/2013	Client Services & OOP Expenses	\$ 36,687.32
12/27/2013	Client Services	\$ 20,600.00
1/30/2014	Client Services & OOP Expenses	\$ 111,570.05
	TOTAL	\$ 285,795.11

Bombardier, Inc.**DISBURSEMENTS during the period August 1, 2013 through Jan 31, 2014**

DATE	PURPOSE	AMOUNT
9/30/2013	Administration Fee	\$ 405.75
9/30/2013	CATT Vendor Load	\$ 6.99
10/31/2013	Administration Fee	\$ 1,068.35
10/31/2013	CATT Vendor Load	\$ 7.72
11/30/2013	Admin Fees	\$ 1,564.39
11/30/2013	Airfare	\$ 2,605.60
11/30/2013	Ground Transit Charges	\$ 185.08
11/30/2013	Hotels/Lodging	\$ 535.50
11/30/2013	Limousine/Car Service	\$ 374.65
11/30/2013	Travel-Meals / Subsistence	\$ 161.57
11/30/2013	Web Conferencing	\$ 51.31
12/31/2013	Admin Fees	\$ 940.53
12/31/2013	Airfare	\$ 458.58
12/31/2013	CATT Vendor Load	\$ 2.03
12/31/2013	Ground Transit Charges	\$ 20.30
12/31/2013	Hotels/Lodging	\$ 268.06
12/31/2013	Internet Connectivity Fee	\$ 9.30
12/31/2013	Limousine/Car Service	\$ 113.41
12/31/2013	Parking	\$ 54.00
12/31/2013	Travel-Meals / Subsistence	\$ 21.21
12/31/2013	Web Conferencing	\$ 109.53
1/31/2014	Admin Fees	\$ 283.95
1/31/2014	Admin Fees	\$ 1,897.03
1/31/2014	Currency Conversion Fees	\$ 30.51
1/31/2014	Ground Transit Charges	\$ 134.04
1/31/2014	Hotels/Lodging	\$ 602.12
1/31/2014	Travel-Meals / Subsistence	\$ 102.97

		TOTAL	\$ 12,014.48
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SINGAPORE ECONOMIC DEVELOPMENT BOARD

RECEIPTS during the period Aug 1, 2013 through Jan 31, 2014

DATE	PURPOSE	AMOUNT
9/20/2013	Client Fees	5,176.23 GBP
12/12/2013	Client Fees	10,062.04 GBP
	TOTAL	15,238.27 GBP

SINGAPORE ECONOMIC DEVELOPMENT BOARD

DISBURSEMENTS during the period Aug 1, 2013 through Jan 31, 2014

DATE	PURPOSE	AMOUNT
	No disbursements this period	
	TOTAL	GBP 0.00

Grupo Vicini

RECEIPTS during the period Aug 1, 2013 through Jan 31, 2014

DATE	PURPOSE	AMOUNT
8/1/2013	Client Services & Out Of Pockets	\$ 15,288.79
8/1/2013	Client Services & Out Of Pockets	\$ 4,846.85
11/20/2013	Client Services & Out Of Pockets	\$ 1,974.25
12/13/2013	Client Services & Out Of Pockets	\$ 11,813.91
	TOTAL	\$ 33,923.80

Grupo Vicini

DISBURSEMENTS during the period Aug 1, 2013 through Jan 31, 2014

DATE	PURPOSE	AMOUNT
9/20/2013	Admin Fees	\$ 42.15
9/20/2013	Airfare	\$ 682.50
9/30/2013	Admin Fees	\$ 111.75
10/31/2013	Admin Fees	\$ 664.05
10/31/2013	Dow Jones Vendor Load	\$ 4.88
10/31/2013	Catered Meal - Meeting	\$ 77.48
11/30/2013	Admin Fees	\$ 199.65
11/30/2013	Dow Jones Vendor Load	\$ 6.42
12/31/2013	Admin Fees	\$ 147.00
12/31/2013	Admin Fees	\$ 178.80
12/31/2013	Tips & Gratuities	\$ 2.00
12/31/2013	Car Mileage	\$ 10.17
12/31/2013	Admin Fees	\$ 564.60
12/31/2013	Dow Jones Vendor Load	\$ 3.21
12/31/2013	Web Conferencing	\$ 5.56
1/31/2014	Admin Fees	\$ 235.80
1/31/2014	Web Conferencing	\$ 2.42
1/31/2014	Admin Fees	\$ 285.45
1/31/2014	Dow Jones Vendor Load	\$ 3.21

		TOTAL	\$	3,227.10
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Chinese Vice Premier Visit

RECEIPTS during the period August 1, 2013 through January 31, 2014

DATE	PURPOSE	AMOUNT
12/3/2013	Client Service	\$ 50,000.00
12/30/2013	Client Service	\$ 14,370.08
	TOTAL	\$ 64,370.08

Chinese Vice Premier Visit

DISBURSEMENTS during the period August 1, 2013 through January 31, 2014

DATE	PURPOSE	AMOUNT
12/24/2013	Fees & Licenses	\$ 305.00
12/24/2013	Travel	\$ 548.63
12/24/2013	FedEx for Copies of Report	\$ 386.76
12/24/2013	Consulting	\$ 500.00
12/24/2013	Dow Jones	\$ 3.21
12/24/2013	UPS	\$ 119.12
12/24/2013	Video Monitoring	\$ 320.00
12/24/2013	Web Conferencing	\$ 2.36
	TOTAL	\$ 2,185.08

TEL Solar

RECEIPTS during the period August 1, 2013 through January 31, 2014

DATE	PURPOSE	AMOUNT
8/7/2013	Monitoring	€ 513.63
8/21/2013	Retainer, Client Services	€ 29,337.41
9/17/2013	Retainer, Client Services	€ 28,980.39
10/22/2013	Retainer, Client Services	€ 17,454.44
11/6/2013	Monitoring	€ 191.43
11/20/2013	Retainer, Client Services	€ 28,285.44
12/17/2013	Retainer, Client Services	€ 21,629.60
1/16/2014	Retainer, Client Services	€ 12,619.09
	TOTAL	€ 139,011.43

TEL Solar

DISBURSEMENTS during the period August 1, 2013 through January 31, 2014

DATE	PURPOSE	AMOUNT
8/30/2013	Monitoring	CHF 465.59
9/27/2013	Monitoring	CHF 174.93
10/17/2013	Monitoring	CHF 242.07
10/31/2013	Travel Expenses	CHF 734.02
11/29/2013	Monitoring	CHF 172.60
12/19/2013	Monitoring	CHF 159.07
1/14/2014	Monitoring	CHF 159.46
	TOTAL	CHF 2,107.74



PRESS RELEASE

AbbVie Expands Manufacturing Presence with US\$320 Million Investment in Singapore

NORTH CHICAGO, Ill., Feb. 5, 2014 – AbbVie Inc. (NYSE: ABBV) announces a US\$320 million [~ S\$400 million] investment to establish operations in Singapore for small molecule and biologics active drug substance manufacturing. The completed facility will provide manufacturing capacity for emerging compounds within AbbVie's oncology and immunology pipeline to serve markets globally.

The investment will establish the first manufacturing presence in Asia by AbbVie. Other AbbVie operations in Asia include research and development (R&D) functions in Tokyo, Japan and Shanghai, China, as well as commercial operations throughout the region. AbbVie's existing presence in Singapore includes 120 personnel, supporting commercial operations, global R&D and general operations.

"As Asia's fastest-growing bio-cluster, Singapore is an ideal location to expand our manufacturing network while maintaining rigorous standards of quality and delivery for the patients we serve around the world," said Azita Saleki-Gerhardt, Ph.D., Senior Vice President, Operations, AbbVie. "Our presence in Singapore will help assure geographic balance and continuity of product supply as well as increased capacity to deliver on our growing biologics and small molecule product pipeline."

"We are very pleased that Singapore will host AbbVie's first manufacturing facility in Asia. This investment is a testament of Singapore's strong biologics manufacturing capabilities. To support the continued growth of this sector, Singapore will be investing heavily in human capital development, and new programs will be put in place to train biologics manufacturing talent ahead of demand," said Kevin Lai, Director, Biomedical Sciences of the Singapore Economic Development Board.

The investment is expected to result in additional headcount of more than 250 new employees. AbbVie anticipates the new facility will be fully operational by 2019.

AbbVie Inc.
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North Chicago, IL 60064

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abbvie.com



AbbVie is focused on delivering its small molecule and biologics pipeline to address significant unmet medical needs and advance the wellbeing of patients across the following therapeutic areas: immunology, liver disease, oncology, neuroscience and kidney disease. The company's unique offerings stem from its 125-year pharmaceutical development heritage combined with the innovative focus of a biotech.

AbbVie's current manufacturing network includes 12 sites across the United States, Europe and Puerto Rico, as well as strategic partnerships with third-party manufacturers.

About AbbVie

AbbVie is a global, research-based biopharmaceutical company formed in 2013 following separation from Abbott. The company's mission is to use its expertise, dedicated people and unique approach to innovation to develop and market advanced therapies that address some of the world's most complex and serious diseases. AbbVie employs approximately 25,000 people worldwide and markets medicines in more than 170 countries. For further information on the company and its people, portfolio and commitments, please visit www.abbvie.com. Follow [@abbvie](https://twitter.com/abbvie) on Twitter or view careers on our [Facebook](#) or [LinkedIn](#) page.

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News Release

Lubrizol Announces Opening of New Innovation Centre in Southeast Asia *New Laboratory Facility Boosts Innovation and Technical Capabilities in Asia*

SINGAPORE, October 9, 2013 – The Lubrizol Corporation announces the opening of its new Innovation Centre in Singapore, the latest addition to Lubrizol's growing presence in Asia. The opening ceremony for the new laboratory facility marks yet another milestone in support of Lubrizol Advanced Materials' robust growth in the region.

"With some of the fastest growing economies today centered in Asia, Lubrizol is proud to have taken yet another step forward in our commitment to this region," says Dr. Robert Graf, corporate vice president, research and development. "As an organization, we take great pride in setting the benchmark for the product innovation and technical capabilities that our global customers have come to expect from Lubrizol. The opening of our new Innovation Centre here in Singapore is a testament to our belief in Asia's growth potential and our commitment to extend our innovations and technical expertise to our customers here in Asia."

The new Innovation Centre is home to application development and technical service for the Personal Care, Home Care and Performance Coatings businesses in Asia. Personal and Home Care technical support covers the areas of skin care, hair care, bath and shower, as well as home care applications. The Performance Coatings business will use the Innovation Center to build technology development and predictive application testing capabilities in the region for a host of application areas that include metal, wood, composites, masonry, paper, textiles and packaging.

"As we continue to increase our focus on the personal care, home care and performance coatings markets, we are delighted to reinforce our strategic presence in Singapore, one of the world's leading chemical hubs," says Mr. Bernard Wong, vice president Asia Pacific, Lubrizol Advanced Materials, Inc. "In setting up our new Innovation Centre here, we will enhance our ability to respond more quickly to market demands and emerging trends in Asia. More importantly, we will also provide the much needed leverage that can help our customers extend their global reach and improve our operational efficiency collectively in this important growth region."

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Director of Energy and Chemicals, Economic Development Board of Singapore, Mr. Eugene Leong, adds "Lubrizol's new Innovation Centre is an exciting addition to Singapore's R&D ecosystem. To meet the demands of the Asian consumer, companies will have to develop solutions together with their business partners and customers. Singapore's diverse industrial base, such as the consumer and personal care industry, provides opportunities for Lubrizol to co-innovate with their customers here. The growth of specialties builds on the capabilities and talent that Singapore has developed in the energy and chemicals industry, and this will add to the robustness of the sector and extend our leadership."

About The Lubrizol Corporation

The Lubrizol Corporation, a Berkshire Hathaway company, is a technology-driven global company that combines complex, specialty chemicals to optimize the quality, performance and value of customers' products while reducing their environmental impact. It produces and supplies technologies to customers in the global transportation, industrial and consumer markets. These technologies include lubricant additives for engine oils, driveline and other transportation-related fluids, industrial lubricants, as well as additives for gasoline and diesel fuel. In addition, Lubrizol makes ingredients and additives for home care and personal care products and pharmaceuticals, and specialty materials, including plastics technology and performance coatings in the form of specialty resins and additives.

With headquarters in Wickliffe, Ohio, Lubrizol owns and operates manufacturing facilities in 17 countries, as well as sales and technical offices around the world. Founded in 1928, Lubrizol has approximately 7,000 employees worldwide. Revenues for 2012 were \$6.1 billion. For more information, visit Lubrizol.com.

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AWARD-WINNING SANDCRAWLER BUILDING OFFICIALLY OPENS IN STAR-STUDED CEREMONY

Prime Minister of Singapore, Mr. Lee Hsien Loong is joined by filmmaking legends Ms. Kathleen Kennedy and Mr. George Lucas in official ceremony that marks another significant milestone in the regional digital entertainment industry

SINGAPORE - January 16, 2014 – Today, the award-winning Sandcrawler building officially opened in a ceremony with Prime Minister of Singapore, Mr. Lee Hsien Loong, President of Lucasfilm, Ms. Kathleen Kennedy, and filmmaking legend, Mr. George Lucas. This state-of-the-art building will be the regional headquarters for Lucasfilm Singapore, The Walt Disney Company (Southeast Asia) and ESPN Asia Pacific. With this world-class facility and the incredible talent housed within its gleaming glass walls, Lucasfilm Singapore will continue the groundbreaking animation and visual effects work that it is known for, including supporting the upcoming *Star Wars* movies.

“The opening of the Sandcrawler building signifies Lucasfilm's ongoing commitment to Singapore,” said Ms. Kathleen Kennedy, President of Lucasfilm. “When we first opened our Singapore studio in 2005, the local digital production landscape looked very different. It was relatively small, with a limited talent pool and virtually no visual effects work being done. Through the efforts of the Economic Development Board, the industry has grown rapidly, and Lucasfilm has done its part in helping that effort. By investing in local talent we’ve been able to significantly grow our Singapore studio into a world-class digital production facility. As a part of The Walt Disney Company, we are happy to bring our business segments together under one roof with The Walt Disney Company (Southeast Asia) and ESPN Asia Pacific also occupying space in the Sandcrawler, furthering our commitment to Singapore and the region.”

Located in the Fusionopolis district of Singapore, the Sandcrawler takes its name from a large vehicle featured in the original *Star Wars* film. The structure continues Mr. George Lucas'

tradition of providing signature creative and beautiful workspaces for employees of Lucasfilm, which was acquired by The Walt Disney Company in 2012.

"This building signifies the possibilities we saw and realized when we initially launched the Singapore unit," Mr. George Lucas said. "It's the culmination of many years of hard work and dedication, and I'm proud to be here for the opening. The building is everything I hoped it would be and I look forward to the day that I can sit in a theater and see all of the amazing work that comes from the artists that work here."

The event was marked by the unveiling of a bronze statue of the *Star Wars* character Yoda, which will have its permanent installation in the idyllic stream in the interior public courtyard of the building. The statue of the legendary Jedi Master links this new structure with the other innovative buildings Mr. George Lucas has built in Northern California, and serves as a landmark.

Joining the Prime Minister of Singapore, Mr. Lee Hsien Loong, Ms. Kathleen Kennedy and Mr. George Lucas in the midday ceremony were Mr. Leo Yip, Chairman of the Singapore Economic Development Board, and Mr. Robert Gilby, Managing Director, The Walt Disney Company, Southeast Asia.

Mr. Leo Yip, Chairman of the Singapore Economic Development Board, said "Lucasfilm is a key player in our media and digital entertainment industry, having been in Singapore since 2005. The opening of the Sandcrawler represents a further commitment by Lucasfilm to deepening its presence in Singapore, and is a validation of Singapore's attractiveness as a hub in Asia for the creation of media content. This sector continues to create exciting career opportunities for Singaporeans with industry leaders like Lucasfilm investing heavily in training talented and passionate local artists, and providing them with the opportunities to create high-quality content for global audiences."

Lucasfilm Singapore has grown into a digital entertainment powerhouse within the region, making significant contributions on the 2011 Academy Award-winning animated feature film *Rango*, and the Emmy-winning television series *Star Wars: The Clone Wars*. In addition, Industrial Light & Magic Singapore has contributed to cutting edge work on a myriad of blockbusters such as the second, third and fourth installments of the *Pirates of the Caribbean*,

the *Transformer* trilogy, *Iron Man* franchise including *The Avengers* and the new *Star Trek* films just to mention a few.

The structure, designed by Aedas Architecture, has received a Gold Plus Greenmark certification in Singapore and has won an impressive six architectural awards including the prestigious Chicago Athenaeum International Architecture Award for Best New Global Design. The Sandcrawler has seven floors of office space elevated above a lush public garden. The Yoda bronze statue, sculpted by Lawrence Noble, sits on a boulder-like waterfall that flows into a shallow pool tiled with natural stone. Other Yoda statues grace Lucasfilm's headquarters in San Francisco and the Big Rock Ranch building in Marin County.

Included in the 22,500 square meters (242,190 square feet) of enclosed office and common space, the Sandcrawler features a 100-seat theater, state-of-the-art digital production capabilities, underground parking, and retail space at street level. The building has a special two-part high-performance glass exterior that in addition to its energy conservation qualities, makes it appear chrome-like, but allows natural daylight into offices without the accompanying heat. At each end of the building, terraces covered with draping plants shade the terraces below.

Lucasfilm Singapore was launched in October 2005 and has worked with schools and other companies in the region to promote the digital entertainment business in the country and the region. The company has also established major technical training programs from the start, connecting local talent with industry veterans at Lucasfilm and Industrial Light & Magic. Lucasfilm Singapore currently has over 360 employees; of which 130 are Singaporean along with other regional countries.

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About Lucasfilm:

Lucasfilm Ltd., a wholly owned subsidiary of The Walt Disney Company, is a global leader in film, television and digital entertainment production. In addition to its motion-picture and television production, the company's activities include visual effects and audio post-production, cutting-edge digital animation, interactive entertainment software, and the management of the global merchandising activities for its entertainment properties including the legendary *STAR WARS* and *INDIANA JONES* franchises. Lucasfilm Ltd. is headquartered in northern California.

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About The Walt Disney Company in Southeast Asia

The Walt Disney Company has been active in Southeast Asia since 1995 when Disney Channel first launched in Malaysia and has grown to five offices in the region (Malaysia, Thailand, Indonesia and the Philippines) with the headquarters in Singapore, employing close to 280 permanent staff. Disney is one of the most active and largest global entertainment companies in the region with diversified businesses in film, consumer products, publishing, mobile content, television and family entertainment overseeing content from six key brands: Disney, Pixar, Marvel, ESPN, ABC and Lucasfilm.

Television is a key driver in growing the Disney brand in the region. Disney Channels Southeast Asia is responsible for the management, creative development and commercial operations of the three Disney-branded channels available across the region: Disney Channel, Disney Junior and Disney XD. The company also distributes Disney owned programs and channels to television, mobile, broadband and on-demand platforms to over 20 Southeast Asian providers including terrestrial broadcasters, pay-TV and channels as well as digital platforms.

Studio Entertainment reached new box office heights in 2013 with Marvel's Iron Man 3 recording the biggest release in history in Malaysia, Thailand and Indonesia. *The Avengers* released in 2012 is still the biggest movie of all time in Singapore and Philippines.

From apparel and toys to food and stationery, Disney Consumer Products (DCP) is the world's leading licensor and works with over 400 licensees across all product categories in Southeast Asia for all ages and lifestyles. Disney Publishing is active in 6 countries in 6 different languages in Southeast Asia, publishing a diverse range of products in the region including story, activity and e-books, magazines and comics that reach a wide demographic from pre-schoolers to pre-teens and adults.

For more information, please visit Disney.com.sg

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TEL Solar

Note to Editors

Company & Industry Insights

28th EU PVSEC in Paris, France

Trubbach, Switzerland, October 30, 2013

- **TEL Solar will present its latest R&D achievement of improved module efficiency due to special "add-on technologies," demonstrating thin film silicon's vast upgradeability.**
- **Due to evident shortcomings of crystalline photovoltaic (PV) technology in growing Sunbelt countries, TEL Solar expects its thin film silicon solution to become the key driver for sustained PV market growth.**

TEL Solar at 28th EU PVSEC in Paris, France

- **TEL Solar will reveal its latest R&D achievement of improved module efficiency due to special "add-on technologies" in an oral presentation (BO.5.1).**

Gerhard Dovids, Sales Director, Asia and Africa at TEL Solar: *"Announcing our latest R&D achievements, we're proud to be able to exceedingly live up to our decades-long history of pioneering innovation successes in both PV manufacturing equipment and advanced PV module technologies. The improved module efficiency demonstrates the vitality of TEL Solar after it has become part of Tokyo Electron Ltd. in 2012. It gives proof of thin film silicon's vast upgradeability – a key feature that sets our technology apart from others."*

- **Crystalline PV technology has evident shortcomings in covering the requirements of the aspiring PV growth markets of the global Sunbelt.** According to a recent NPD Solarbuzz market report, crystalline has proven its inability to support India's National Solar Mission's objective of establishing the country as a solar manufacturing hub for the domestic and the global PV growth market. Even if running at full capacity, the local production could only cover 10 percent of India's requirements. The reasons are a lack of funds, as the crystalline PV value chain requires high investments, and a lack of local expertise in handling the technology's considerable complexity.

Gerhard Dovids: *"The time has come for Sunbelt countries to turn their back on crystalline and to turn to thin film technology. Our offer is tailor-made for their needs with a 5- to 10- percent higher module energy yield, the lowest cost, and it comes along with a complete technology and knowledge transfer. In this way, TEL Solar fosters the development of the local supplier industry and the creation of new jobs in Sunbelt countries, while meeting any local content regulations."*

About TEL Solar

- TEL Solar with its headquarters in Trubbach, Switzerland, is the leading designer and manufacturer of field-proven equipment and end-to-end manufacturing lines for the mass production of environmentally sustainable thin film silicon solar modules.
- TEL Solar, formerly Oerlikon Solar, is a subsidiary of Tokyo Electron Ltd. (TEL).



TEL Solar

About 28th European Photovoltaic Solar Energy Conference and Exhibition (EU PVSEC)

- **EU PVSEC** is one of the major annual events of the global PV industry, attracting more than 656 exhibitors from 35 countries in 2012. The trade show is famous for hosting the world's largest PV Solar Energy Conference with more than 1,600 presentations. The 28th EU PVSEC will take place in Paris, France, from October 1-3, 2013.

About the global PV market / growth markets / equipment & technology market

- **Solar PV continues its accelerated global growth:** Last year was yet another milestone, with a record-level of 31 GW of solar PV capacity installed around the world. According to PV association EPIA's "Global Market Outlook", annual additions could reach as high as 84 MW by 2017.
- **Emerging markets in the global Sunbelt regions are the biggest growth markets**, especially the Near & Middle East, North & South Africa, as well as South America. Overall, EPIA is expecting up to 250 GW installed PV by the year 2020 and as much as 1,100 GW by 2030 in these regions alone.
- **Solar industry invests more in equipment & technology in 2014:** According to a report of market research company IHS, the investments will increase by 30 percent and reach a value of three billion dollars (2.25 billion euros). According to IHS, growth is driven by the demand in emerging markets.

Background on TEL Solar

About TEL Solar's ThinFabTM 140 module manufacturing solution

- Lowest module cost in PV industry enabling cost of electricity (LCOE) at less than \$ 0.1 / kWh for GMS
- ThinFabTM 140 allowing in-country PV manufacturing to build local supply chain with Swiss quality
- Only 0.4 kWh / Wp fab electricity consumption – less than half that of competing technologies

About thin film silicon modules manufactured with TEL Solar's ThinFabTM 140

- **Specs:** module efficiency 10.8 percent / 154 Wp, module size 1,1 x 1,3 m²
- **Higher energy yield:** plus 5 – 10 percent due to the MICROMORPHTM technology and its lower temperature coefficient
- **Best-in-class module reliability:** advanced module design, customized to local conditions
- **Low system cost:** mounting technology MMITM enabling total system costs for ground-mounted systems (GMS) of approx. € 1.0 / Wp in 2013 (China)
- **Clean module design:** no toxic elements, lowest CO₂ footprint of 21 g CO₂ / kWh and fastest module energy payback time of < 1 year

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